

CABINET MEMBER FOR ADULT SOCIAL CARE

**Venue: Town Hall,
Moorgate Street,
Rotherham S60 2RB**

Date: Monday, 11th July, 2011

Time: 10.00 a.m.

A G E N D A

1. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972
2. To determine any item which the Chairman is of the opinion should be considered later in the agenda as a matter of urgency
3. Apologies for Absence
4. Minutes of the previous meeting held on 27th June, 2011 (Pages 1 - 4)
5. Adult Services Revenue Budget Monitoring Report 2011/12 (Pages 5 - 10)
6. Exclusion of the press and public.
The following item is likely to be considered in the absence of the press and public as being exempt under Paragraph 4 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (information relating to any consultations or negotiations).
7. Integrated Community Equipment Service - (ICES) Review (Pages 11 - 17)

**CABINET MEMBER FOR ADULT SOCIAL CARE
Monday, 27th June, 2011**

Present:- Councillor Doyle (in the Chair); and Councillor Gosling.

Apologies for absence were received from Councillors P. A. Russell and Walker.

D1. MINUTES OF MEETING HELD ON 13TH JUNE, 2011

Consideration was given to the minutes of the previous meeting held on 13th June, 2011.

Resolved:- That the minutes of the previous meeting held on 13th June, 2011, be approved as a correct record.

D2. ADULT SOCIAL CARE 4TH QUARTER PERFORMANCE

Steve Lightfoot, Performance Officer, presented the submitted report which outlined the 2010/11 Quarter 4 Key Performance Indicator results for the Adult Social Care elements of the Directorate. At the end of the Quarter, 9 (75%) out of the 12 Key Performance Indicators had achieved their targets:-

- NI136 People Supported to Live Independently - Achieved 2,882 against a target of 2,800. This was an improvement from 2,358 in 2009/10 and rated next to bottom quartile for all England and our comparator group, an improvement of 1 quartile from last year
- NI141 Vulnerable People achieving Independent Living - Achieved 89.13% against a target of 85%, an improvement on 2009/10 (88.17%)
- NAS1 Reviews - Achieved 87.32% against a target of 87%, an improvement on 2009/10 (82.50%). Rated top quartile for all England and comparator group
- NAS18 People receiving a Statement of Need. Achieved 98.28% against a target of 98%, an improvement on 2009/10 (96.21%)
- NI125 Intermediate Care - Achieved its 85% target, an improvement on 2009/10 (84.17%) and rated next to top quartile for all England and top quartile for comparator group
- NI130 Self Directed Support - Achieved 50.31% against a target of 50%, an improvement from 8.62% in 2009/10
- NI135 Carers Service - Achieved 31.80% against a target of 30%, an improvement from 29.61% in 2009/10 and rated top quartile for all England and next to top quartile for comparator group
- NI145 LD Clients in Settled Accommodation - Achieved 72.29% against a target of 72%, an improvement from 72.38% in 2009/10 and rated top quartile for all England and next to top quartile for comparator group

- NAS46 Safeguarding Cases substantiated – Achieved 93.1% against a target of 75%, an improvement from 61.1% in 2009/10

The following 3 performance measures did not achieve their Quarter 4 targets:

- NI 1336 Timeliness of Social Care Assessments
Year end performance was 81.89% against a target of 90%. This had improved from 79.98% at the end of February and had improved compared to the 2009/10 baseline (80.71%). The introduction of OT activity had had the biggest impact on the figures and the reason why performance was off target. Performance did improve during Quarter 4 but not in time to achieve the year end target. There were plans to align the OT Service with the Social Work Teams in 2011/12 with performance closely monitored on a weekly basis.
- NI133 Waiting Times for Care Packages
Year end performance was 94.50% against a target of 96%. This had improved from 92.05% at the end of February and had improved compared to the 2009/10 baseline (94.23%).
- NI146 LD Clients in Employment
Current performance for March was 3.94% against a stretch target of 6.26%. This had improved from 3.77% at the end of February but had deteriorated compared to the 2009/10 baseline (5.58%). Performance had deteriorated due to some people having lost their employment since last year's score. The largest impact had been job losses at Speakup self advocacy. The current target was set with the expectation existing people retaining employment and capturing an additional 6 people.

Resolved:- (1) That the year end performance results be noted and the met targets be welcomed.

(2) That future reports include information relating to NI125 Intermediate Care, which identified how long people were supported to stay independent following discharge from intermediate care, and also consideration be given to reporting on the success of Intermediate Care in preventing admission to hospital.

(3) That, with regard to NI146 LD Clients in Employment, consideration be given to a revisit of the scrutiny review carried out in 2006.

D3. FUTURE CHALLENGES TO THE NHS

Chris Edwards, Chief Operating Officer NHS Rotherham, gave the following presentation entitled 'Future Challenges to the NHS – What do they mean to Rotherham':-

National Picture

- Commissioning by GPs
- Requirement to reduce NHS Rotherham workforce by 45%
- Responsibility for Health Improvement to transfer to RMBC and Public Health England to lead on Public Health

- Major 'listening exercise' reported last week

South Yorkshire Picture

- Cluster of commissioners formed to help NHS Rotherham manage the transition
 - Rotherham
 - Sheffield
 - Barnsley
 - Doncaster
 - Bassetlaw
- Timeframe
 - April, 2012
 - Health Watch established (group to ensure views of patients and carers are represented)
 - Health and Wellbeing Boards established
 - Shadow GP Commissioning Consortia
 - April, 2013
 - NHS Rotherham abolished
 - GP Consortia (Clinical Commissioning Groups) and other healthcare professionals take up most commissioning responsibilities
 - NHS Commissioning Board takes on responsibility for primary care services and specialised services
 - Local authorities responsible for health improvement

The Current Position of the NHS in Rotherham

- The next 4 years will be extremely challenging. However, NHS Rotherham had a strong starting position with:-
 - A sound financial position – no historical debts
 - All major targets on track
 - Transfer of Community Services completed 1st April, 2011
 - All local providers are Foundation Trusts
 - Positive engagement from GPs

Efficiency Programmes

- Breakdown of £72.8 M Total System Efficiency Challenges for the Rotherham health system over the next 4 years
 - QIPP Efficiency (NHS Rotherham) £24,159,000
 - Provider Efficiency (RFT) £27,134,000
 - Provider Efficiency (Other) £21,538,000

Local Efficiency Programmes

- Breakdown of £24.2M Efficiency Programmes for NHS Rotherham
 - Management Costs £3,110,000
 - Prescribing £5,290,000
 - Long Term Conditions/Urgent Care £7,299,000
 - Planned Care £7,940,000
 - Specialised Services £520,000

NHS Rotherham Priorities

- Performance

- Continue to ensure services are safe, that quality is improving and that financial/performance targets are met
- Improvement
 - Continue to implement our Strategic Plan, Better Health Better Lives, focusing on the things that will make health and health services better in Rotherham
- Efficiency
 - Deliver the programmes that will ensure that we do not make unplanned cuts to services

Corporate Priorities

- Transition – Continue to work with
 - GPs to manage the transition to GP commissioning
 - SY & Bassetlaw Cluster to achieve effective transfer of commissioning responsibilities
 - RMBC and PH England to manage the implications of the PH White Paper
 - Partners and stakeholders to ensure they are fully engaged with transitions

Discussion ensued and reference was made to the need for regular update reports and the Council's political portfolio restructure in relation to health was outlined.

Resolved:- That the information be noted.

D4. EXCLUSION OF THE PRESS AND PUBLIC

Resolved:- That, under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in Paragraph 4 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (information relating to any consultations/negotiations).

D5. 2011 PUBLIC HEALTH ANNUAL REPORT

Jo Abbott, Public Health Consultant, NHS Rotherham, introduced the draft 2011 Public Health Annual Report and sought views.

Resolved:- That consideration of this matter be deferred, pending the report's presentation to a joint meeting of the Cabinet Members for Adult Social Care, Health and Wellbeing, Safe and Attractive Neighbourhoods and Safeguarding Children and Adults within the next two weeks.

ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS
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1	Meeting:	Cabinet Member for Adult Social Care
2	Date:	Monday, 11th July, 2011
3	Title:	Adult Services Revenue Budget Monitoring Report 2011/12.
4	Directorate :	Neighbourhoods and Adult Services

5 Summary

This Budget Monitoring Report provides a financial forecast for the Adult Services Department within the Neighbourhoods and Adult Services Directorate to the end of March 2012 based on actual income and expenditure to the end of May 2011.

The forecast for the financial year 2011/12 at this stage is a balanced budget, against an approved net revenue budget of £77.6m.

6 Recommendations

Members are asked to note the latest financial projection against budget for the year based on actual income and expenditure to the end of May 2011 for Adult Services.

7 Proposals and Details

7.1 The Current Position

7.1.1 The approved net revenue budget for Adult Services for 2011/12 was £77.6m. Included in the approved budget was additional funding for demographic and existing budget pressures together with a number of new investments and savings identified through the 2011/12 budget setting process. This budget will be subject to change once a number directorate wide reviews have been concluded and the apportionment of a number of cross cutting savings targets have been finalised.

7.1.2 The table below summarises the forecast outturn against approved budgets:-

Division of Service	Net Budget	Forecast Outturn	Variation	Variation
	£000	£000	£000	%
Adults General	4,106	4,106	0	0
Older People	35,142	35,562	420	1.2
Learning Disabilities	17,302	16,645	-657	-3.8
Mental Health	5,366	5,404	38	0.7
Physical & Sensory Disabilities	7,300	7,499	199	2.7
Safeguarding	745	745	0	0
Supporting People	7,703	7,703	0	0
Total Adult Services	77,664	77,664	0	0

7.1.3 The latest year end forecast shows there are a number of underlying budget pressures which are at present being offset by a number of forecast underspends.

The underlying budget pressures include:

- An overall forecast overspend within Older Peoples' Home Care Service (+£453k) mainly due to increased demand for maintenance care within independent sector.
- There is also a pressure on independent home care within Physical and Sensory Disability Services (+£165k) due to a continued increase in demand. An additional increase of 229 hours on service since April.
- Shortfall in respect of income from charges within in-house residential care (+£175k).

- Additional employee costs to cover vacancies and sickness within older people in-house residential care (+£277k).
- An overall forecast overspend on Direct Payments (+£209k) mainly within mental health and physical and sensory disability services.
- Recurrent budget pressure on Learning Disabilities Day Care transport (+£298k) including income from charges.

7.1.4 These pressures have been offset by the following forecast underspends:-

- Forecast net underspend on Older People independent sector residential and nursing care due to an increase in the average client contribution, additional continuing health care funding and income from property charges. (-£192k).
- Underspend on employee costs within Transport Unit plus additional income from contracts (-£146k).
- Forecast underspend within Learning Disabilities residential and nursing care due to slippage on transitions from Children's Services. (-£520k).
- Additional Continuing Health Care Income plus a underspend on Supported Living Schemes within Physical and Sensory Disabilities (-£150k).
- One off slippage on vacant posts as part of restructure/reviews and voluntary early retirements (-£187k).
- Additional income from health and reduction in care packages after reviews within supported living (-£176k).
- Underspend on Rothercare Direct (-£106k) due to a reduction in leasing costs.

7.1.5 For the first two months total expenditure on Agency staff for Adult Services was £40,057 (of which £1,750 was off contract). This compares with an actual cost of £59,042 for the same period last year (of which £1,364 was off contract).

The main costs were in respect of residential care and assessment and care management staff to cover vacancies and sickness. There has been no expenditure on consultancy to-date.

7.1.6 Actual expenditure to the end of May 2011 on non-contractual overtime for Adult Services was £49,884..

The actual costs of both Agency and non contractual overtime are included within the financial forecasts.

7.2 Current Action

To mitigate any further financial pressures within the service, budget meetings and budget clinics are held with Service Directors and managers on a regular basis to monitor financial performance and further examine significant

variations against the approved budget to ensure expenditure remains within the cash limited budget by the end of the financial year.

8. Finance

Finance details are included in section 7 above and the attached appendix shows a summary of the overall financial projection for each main client group together with the main reasons for variation.

9. Risks and Uncertainties

Careful scrutiny of expenditure and income and close budget monitoring remains essential to ensure equity of service provision for adults across the Borough within existing budgets particularly where the demand and spend is difficult to predict in such a volatile social care market.

10. Policy and Performance Agenda Implications

The delivery of Adult Services within its approved cash limit is vital to achieving the objectives of the Council and the CSCI Outcomes Framework for Performance Assessment of Adult Social Care. Financial performance is also a key element within the assessment of the Council's overall performance.

11. Background Papers and Consultation

- Report to Cabinet on 23 February 2011 –Proposed Revenue Budget and Council Tax for 2011/12.
- The Council's Medium Term Financial Strategy (MTFS) 2011-2014.

This report has been discussed with the Strategic Director of Neighbourhoods and Adult Services and the Strategic Director of Finance.

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ADULT SERVICES SUMMARY									
		PROJECTED REVENUE OUT-TURN AS AT 31May 2011							
Last Reported Projected Net Variance as at xx/xx/2011 £000	Service Division	Net Expenditure						Revised Financial RAG Status	* Note
		Original Budget £000	Proj'd out turn £000	Variance (Over (+) / Under (-) Spend) to Original Budget £000	Current Financial RAG Status £000	Financial Impact of Management Action £000	Revised Projected Year end Variance Over(+)/Under(-) spend £000		
	Adult Services - General	4,106,200	4,106,200	0	Green	0	0	Green	1
	Health & Well Being								
	Older People	35,141,976	35,561,833	419,857	Red	0	419,857	Red	2
	Learning Disabilities	17,301,682	16,644,553	(657,129)	Green	0	(657,129)	Green	3
	Mental Health	5,366,147	5,403,872	37,725	Amber	0	37,725	Amber	4
	Physical and Sensory Disabilities	7,299,784	7,499,331	199,547	Red	0	199,547	Red	5
	Safeguarding	745,187	745,187	0	Green	0	0	Green	6
	Supporting people	7,703,355	7,703,355	0	Green	0	0	Green	7
0	Total Adult Services	77,664,331	77,664,331	0		0	0		

Reason for Variance(s), Actions Proposed and Intended Impact on Performance

NOTES Reasons for Variance(s) and Proposed Actions

or under performance against income targets) and actions proposed

1	<p><u>Main Reasons for Variance</u></p> <p><u>Adult Services General</u></p> <p>General cross cutting Directorate budgets including training, RBT affordability and corporate recharges are forecasting to budget.</p>
2	<p><u>Health and Well Being</u></p> <p><u>Older Peoples Services (+£420k)</u></p> <p>Overspend on In House Residential Care (+£410k) due to higher dependency Levels, additional cover for long term sickness and maternity leave plus budget pressure on Part III income. Forecast overspend on Independent Sector Home Care budget (+£679k) due to increase in average weekly hours continuing from last year. Underspend on employee costs within In-house Home Care (-£226K) An underspend on independent residential and nursing care (-£192k) due to increase in average client contribution, additional income from health and property charges. Projected underspend on leasing costs within Rothercare Direct as alarms are now fully paid for reduced by potential pressure on income budget (-£106k). Underspend on Transport due to increased income from additional contracts and slippage on vacant posts (-£146k)</p>
3	<p><u>Learning Disabilities (-£657k)</u></p> <p>Slippage on vacant posts due to reviews and voluntary early retirements (-£187k). Delays in transitions from children and families into younger adults plus additional health funding (-£520k). Review of care packages in supported living, additional funding from Health and ILF (-£176k) Underspend within in-house supported livings schemes and community support due to vacant posts (-£63k) Recurrent budget pressure on Day Care transport (+£298k) including income from charges.</p>
4	<p><u>Mental Health (+£38k)</u></p> <p>Projected underspend on residential care due to additional funding (-£45k). Slippage on implementing full budget saving target in respect of Rotherham Mind moving into Clifton Court (+£74k).</p>
5	<p><u>Physical and Sensory Disabilities (+£200k)</u></p> <p>Continued Pressure on Independent Sector domiciliary care (+£165K) due to continue increase in demand (additional 19 clients = 229 hours since April) Further demand for Direct Payments (+£107k) reduced by underspend on Crossroads SLA (-£84k) as clients are redirected to Direct Payments.</p>
6	<p><u>Safeguarding</u></p> <p>Forecast balanced budget as at end May 2011.</p>
7	<p><u>Supporting People</u></p> <p>Forecast balanced budget as at end May 2011.</p> <p><u>Proposed Actions to Address Variance</u></p> <p>Budget performance clinics continue to meet monthly to monitor financial performance against approved budget.</p>

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